

02/26/02
Jc973 U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification
of this Application:
☒ Class 349 ☒ Subclass 139.000
Prior Application
Examiner: Huyen Le NGO
Art Unit: 2871

Jc986 U.S. PTO
10/08/02
02/26/02

TRANSMITTAL-CONTINUATION-IN-PART APPLICATION

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This is a request for filing a continuation-in-part application pursuant to 35 U.S.C. § 120 and 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/768,371, filed January 23, 2001, of Norio KOMA (Inventor(s) currently of record in prior application) for VERTICALLY ALIGNED TYPE LIQUID CRYSTAL DISPLAY (title of invention).

1. ☒ Enclosed is a copy of the application.
2. ☐ Enclosed is a declaration and power of attorney.
3. ☒ A declaration and power of attorney will follow.
4. ☐ Enclosed is an associate power of attorney.
5. ☐ Amend the specification by inserting before the first line the sentence:

This application is a continuation-in-part application of application Serial No. ____, filed ____, which application is hereby incorporated by reference in its entirety.

6. The filing fee is calculated as follows:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	16	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$84 SM=\$42	\$84	168	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 168
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0	
E	BASIC FEE				LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 908
	*LIST INDEPENDENT CLAIMS 1, 5, 10, 11, and 14.							

- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1314. A duplicate of this sheet is enclosed.

7. ☒ 8 sheet(s) of drawings is/are enclosed.
8. ☒ The present application claims priority from Japanese patent application Serial No. 9-268973 which was filed October 1, 1997 under 35 U.S.C. § 119.
9. ☒ The certified copy of the priority document(s) has/have been filed in prior application Serial No. 09/162,984 filed September 29, 1998.
10. ☐ The certified copy of the priority document(s) will follow.
11. ☐ An assignment to SANYO ELECTRIC CO., LTD. is enclosed herewith, along with the recordation form cover letter and check in the amount of \$40 will follow.
12. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
13. ☐ Applicant claims small entity status. See 37 CFR 1.27.
14. ☐ Information disclosure statement is enclosed with references.
15. ☒ Address all future communications to:

Wei-Fu Hsu
HOGAN & HARTSON L.L.P.
Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By:

Wei-Fu Hsu
Registration No. 45,723
Attorney for Applicant(s)

Date: February 26, 2002

500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Norio KOMA

Serial No: Not assigned

Filed: February 26, 2002

For: VERTICALLY ALIGNED LIQUID CRYSTAL DISPLAY

Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EL 713 631 305 US
Date of Deposit: February 26, 2002

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a CIP letter of transmittal
- ☒ patent application (21 page(s) of specification; 16 claim(s); 1 page(s) of abstract
- ☒ 8 sheet(s) of formal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: February 26, 2002

Hogan & Hartson, LLP
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Telephone: 213-337-6700
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Emily Cruz

Name of person mailing papers

Signature